

# **AAU BOYS BASKETBALL**

## **2014 HANDBOOK**



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# 2014 AAU Boys' Basketball Handbook

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## MISSION STATEMENT

The purpose of the AAU Basketball Programs is to initiate, stimulate, and improve opportunities for amateur basketball competition and to promote and develop leadership, sportsmanship, fitness, and athletic excellence.

## AAU BOYS BASKETBALL RULE BOOK

### **I. National Sport Committee Governance & Administration**

#### **A. National Committee Structure & Procedures**

##### **1. National Committee**

The National Committee shall conduct the Boys' Basketball program. Members of the committee are: National Chairman, Executive Committee members, elected and appointed District Sport Directors, a representative from an Affiliated member that registers members in the sport and up to five (5) members-at-large appointed by the President. Only representatives from Districts which registered a minimum of .5% (a half percent) of the total number of athletes in the sport in the previous year shall be allowed to vote in the National Sport Committee meeting.

##### **2. National Chairman**

The National Chairman is appointed by the AAU President. In years in which the AAU president is elected, the National committee shall conduct an election for the purpose of recommending a National Chairman to the president. The chairman shall exercise his duties as provided by the AAU Code and manage the business of the program with the Executive Committee.

##### **3. Executive Committee**

The Executive Committee shall conduct the business of the Boys' Basketball Committee in accordance with the rules and regulations of the AAU Code and the AAU Boys' Basketball Program. It shall include the National Chairman, Secretary, Director of Competition, immediate Past Chairman and two Members-at-Large. One Member-at-Large shall be elected by the certified voting delegates at the bi-annual committee meeting every four years. The Chairman shall appoint the Secretary, Director of Competition, and one (1) Member-at-Large.

- a. The AAU Boys' Basketball Executive Committee shall enforce these rules and the AAU Code as they apply to players, coaches and clubs. Penalties may be imposed subject to the due process requirements of the AAU Code.
- b. The Executive Committee may create and conduct events, applying such qualifications, criteria and standards as to best promote the Boys' Basketball Program.
- c. The Executive Committee shall act on behalf of the National Committee between the bi-annual National Committee meetings, as provided by the AAU Code.

##### **4. National Council**

- a. The Chairman may appoint commissioners, the number of which shall be at the chairman's discretion. Commissioners supervise the national tournaments, assign game officials at national tournaments and assist the Executive Committee in the execution of its duties as directed by the chairman.
- b. The Executive Committee and Commissioners collectively comprise the National Council. The council shall consider the recommendations of the Executive Board as to the sites for National Championship events and select the sites by majority vote.

## 5. National Eligibility Committee

An Eligibility Committee appointed by the Boys' Basketball Chairman will be established to rule on player and team eligibility for district championships, super regional championships and national championships. Decisions of the Eligibility Committee are final.

## B. Sport Committee Meetings

### 1. National Sport Committee Regular Meetings

Regular Meetings of the National Sport Committee shall be in conjunction with the AAU Convention.

### 2. Non – Regular Sport Committee Meetings

The National Sport Committee may hold Non-Regular meetings subject to the following provisions:

- a. The meeting is called by the Chair following approval of the President.
- b. The National Chairman, in cooperation with the President, has the right to coordinate and pick the site for the meeting.

### 3. National Sport Committee Special Meetings

Special meetings of a Committee are scheduled at the request of the National Chair or upon written request of at least one half (1/2) of the National Committee members. The purpose of the Special meeting must be stated in the Notice for the meeting.

### 4. National Sport Executive Committee Meetings

The National Chair shall determine the dates and locations of Executive Committee Meetings.

### 5. Agenda

Sport Committee Meeting Order (Agendas) The Regular National Sport Committee meetings, the Non-Regular National Sport Committee meetings, and National Sport Committee Special meetings shall follow meeting order (agenda format) as established by Code (Bylaw 9.5).

## II. Official Rules

The official rules will be the National Federation High School (NFHS) rules for the current year, except where modified by the AAU Boys' Basketball Rule Book.

## III. Competition Rules

The Competition Rules Section shall be administered at all District Championships, Super Regional Championships and National Championships.

### A. Grade Divisions

Competition in boys' basketball shall be in the following grade divisions: 1<sup>st</sup> Grade, 2<sup>nd</sup> grade, 3<sup>rd</sup> grade, 4<sup>th</sup> grade, 5<sup>th</sup> grade, 6<sup>th</sup> grade, 7<sup>th</sup> grade, 8<sup>th</sup> grade, 9<sup>th</sup> grade, 10<sup>th</sup> grade, 11<sup>th</sup> grade and 12<sup>th</sup> grade.

### **1st Grade Division**

An Athlete must be in the 1st Grade as of October 1, 2013 and can be no older than 8 on August 31, 2014. An athlete that is in the 2nd grade as of October 1<sup>st</sup>, 2013 wanting to play down in the 1<sup>st</sup> grade division, can be no older than 7 on August 31, 2014.

### **2<sup>nd</sup> Grade Division**

An athlete must be in the 2<sup>nd</sup> grade as of October 1, 2013 and can be no older than 9 on August 31, 2014. An athlete that is in the 3<sup>rd</sup> grade as of October 1<sup>st</sup>, 2013 wanting to play down in the 2<sup>nd</sup> grade division, can be no older than 8 on August 31, 2014.

### **3<sup>rd</sup> Grade Division**

An athlete must be in the 3<sup>rd</sup> grade as of October 1, 2013 and can be no older than 10 on August 31, 2014. An athlete that is in the 4<sup>th</sup> grade as of October 1<sup>st</sup>, 2013 wanting to play down in the 3<sup>rd</sup> grade division, can be no older than 9 on August 31, 2014.

### **4<sup>th</sup> Grade Division**

An athlete must be in the 4<sup>th</sup> grade as of October 1, 2013 and can be no older than 11 on August 31, 2014. An athlete that is in the 5<sup>th</sup> grade as of October 1<sup>st</sup>, 2013 wanting to play down in the 4<sup>th</sup> grade division, can be no older than 10 on August 31, 2014.

### **5<sup>th</sup> Grade Division**

An athlete must be in the 5<sup>th</sup> grade as of October 1, 2013 and can be no older than 12 on August 31, 2014. An athlete that is in the 6<sup>th</sup> grade as of October 1<sup>st</sup>, 2013 wanting to play down in the 5<sup>th</sup> grade division, can be no older than 11 on August 31, 2014.

### **6<sup>th</sup> Grade Division**

An athlete must be in the 6<sup>th</sup> grade as of October 1, 2013 and can be no older than 13 on August 31, 2014. An athlete that is in the 7<sup>th</sup> grade as of October 1<sup>st</sup>, 2013 wanting to play down in the 6<sup>th</sup> grade division, can be no older than 12 on August 31, 2014.

### **7<sup>th</sup> Grade Division**

An athlete must be in the 7<sup>th</sup> grade as of October 1, 2013 and can be no older than 14 on August 31, 2014. An athlete that is in the 8<sup>th</sup> grade as of October 1<sup>st</sup>, 2013 wanting to play down in the 7<sup>th</sup> grade division, can be no older than 13 on August 31, 2014.

### **8<sup>th</sup> Grade Division**

An athlete must be in the 8<sup>th</sup> grade as of October 1, 2013 and can be no older than 15 on August 31, 2014. An athlete that is in the 9<sup>th</sup> grade as of October 1<sup>st</sup>, 2013 wanting to play down in the 8<sup>th</sup> grade division, can be no older than 14 on August 31, 2014.

### 9<sup>th</sup> Grade Division

An athlete must be in the 9<sup>th</sup> grade as of October 1, 2013 and can be no older than 16 on August 31, 2014. An athlete that is in the 10<sup>th</sup> grade as of October 1<sup>st</sup>, 2013 wanting to play down in the 9<sup>th</sup> grade division, can be no older than 15 on August 31, 2014.

### 10<sup>th</sup> Grade Division

An athlete must be in the 10<sup>th</sup> grade as of October 1, 2013 and can be no older than 17 on August 31, 2014. An athlete that is in the 11<sup>th</sup> grade as of October 1<sup>st</sup>, 2013 wanting to play down in the 10<sup>th</sup> grade division, can be no older than 16 on August 31, 2014.

### 11<sup>th</sup> Grade Division

An athlete must be in the 11<sup>th</sup> grade as of October 1, 2013 and can be no older than 18 on August 31, 2014. An athlete that is in the 12<sup>th</sup> grade as of October 1<sup>st</sup>, 2013 wanting to play down in the 11<sup>th</sup> grade division, can be no older than 17 on August 31, 2014.

### 12<sup>th</sup> Grade Division

An athlete must be in the 12<sup>th</sup> grade as of October 1, 2013 and can be no older than 19 on August 31, 2014.

**NOTICE:** AAU Boys' Basketball is a grade based program. Each grade division consists of a 24 month age window which determines an athletes' participation in that division. If an athletes' age is outside the 24 month window for their grade, the athlete must play up to the grade division that is normal and customary for their age. If an athlete is in any grade above what is normal and customary for their age, that athlete may play down provided they meet the established criteria set out in the chart below:

### AGE REQUIREMENTS TO PLAY DOWN A GRADE DIVISION

<u>Grade as of Oct.1<sup>st</sup>, 2013</u>	<u>Requested Grade</u>	<u>Birthdate Requirements</u>
12th Grade	11th Grade	born on or after 9/1/1996
11th Grade	10th Grade	born on or after 9/1/1997
10th Grade	9th Grade	born on or after 9/1/1998
9th Grade	8th Grade	born on or after 9/1/1999
8th Grade	7th Grade	born on or after 9/1/2000
7th Grade	6th Grade	born on or after 9/1/2001
6th Grade	5th Grade	born on or after 9/1/2002
5th Grade	4th Grade	born on or after 9/1/2003
4th Grade	3rd Grade	born on or after 9/1/2004
3rd Grade	2nd Grade	born on or after 9/1/2005
2 <sup>nd</sup> Grade	1 <sup>st</sup> Grade	born on or after 9/1/2006

## B. Playing Times

<u>Division</u>	<u>Quarters</u>	<u>Halftime/ Warm up Times</u>	<u>Overtime</u>
1 <sup>st</sup> Grade	6 Minute Quarters	5 Minutes	3 Minute Overtime
2 <sup>nd</sup> Grade	6 Minute Quarters	5 Minutes	3 Minute Overtime
3 <sup>rd</sup> Grade	6 Minute Quarters	5 Minutes	3 Minute Overtime
4 <sup>th</sup> Grade	7 Minute Quarters	5 Minutes	3 Minute Overtime
5 <sup>th</sup> Grade	7 Minute Quarters	5 Minutes	3 Minute Overtime
6 <sup>th</sup> Grade	7 Minute Quarters	5 Minutes	3 Minute Overtime
7 <sup>th</sup> Grade	8 Minute Quarters	5 Minutes	4 Minute Overtime
8 <sup>th</sup> Grade	8 Minute Quarters	5 Minutes	4 Minute Overtime
9 <sup>th</sup> Grade	8 Minute Quarters	5 Minutes	4 Minute Overtime
10 <sup>th</sup> Grade	8 Minute Quarters	5 Minutes	4 Minute Overtime
11 <sup>th</sup> Grade	8 Minute Quarters	5 Minutes	4 Minute Overtime
12 <sup>th</sup> Grade	8 Minute Quarters	5 Minutes	4 Minute Overtime

## C. Team Rosters

1. A maximum of fifteen (15) athletes and four (4) non-athletes are allowed on a team roster. All athletes and non-athletes on the roster must be registered AAU Members in the current year.
2. A team may have a maximum of 3 players from a bordering district (Cross Boundary Athlete).
3. Roster Participation Restrictions
  - a. Only males may participate as players.
  - b. An athlete may not participate later than the summer following his class graduation from high school.
  - c. An athlete may participate in a maximum of two (2) grade divisions of the AAU Boys' Basketball program for which he can qualify.
  - d. Athletes that compete in more than one grade division must compete with the same club until the conclusion of all District Championships.
  - e. Following the conclusion of all District Championships, club/teams may add players to their roster based on the instructions in Rule IV. National Championship, Section K. National Championship Roster.
  - f. Athletes must participate in the district of their residence, or an adjoining district, or as otherwise permitted by the AAU Code.
4. All rosters used for National Championships, District Championships and Super Regional Championships must be submitted online at [www.aauboysbasketball.org](http://www.aauboysbasketball.org) for teams to be allowed to advance to Nationals.
5. Proof of grade must be available for review, by an AAU designee at all AAU sanctioned competitions. If the documents are not available, the team is subject to removal from the competition. Acceptable documents include the following:
  - a. A photocopy of that portion of the athletes' report card for the current school year which shows name, grade and school.
  - b. Confirmation of grade level from a school administrator (guidance counselor, principal, dean, etc.) on school letterhead

6. Proof of age must be available for review, by an AAU designee at all AAU sanctioned competitions. If the documents are not available the team is subject to removal from the competition. Acceptable documents include the following:
  - a. A photocopy of his certificate of live birth from the State, County or Municipal Bureau of Vital Statistics on the place of birth (HOSPITAL RECORDS NOT ACCEPTED)
  - b. A photocopy of the delayed registration of birth if the athlete's birth was not recorded on his day of birth, issued by the same governing bodies.
  - c. An unexpired Passport
  - d. Valid Driver's License or State issued I.D

#### **D. Misbehavior/Ejections**

1. Any coach ejected for fighting will be ineligible for the remainder of the tournament.
2. Players ejected for fighting are ineligible to participate in the team's next game. Additional penalties, up to exclusion from the tournament, may be imposed by the National Eligibility Committee.
3. If a coach or player is ejected from a game for unsportsmanlike behavior (not fighting), he/she will be ineligible to participate in the next scheduled game. The head coach may appeal the penalty by submitting a written request for appeal to the Tournament Headquarters within two (2) hours of the conclusion of the game. A Commissioner shall consider the appeal. The Commissioners ruling is final.
4. If a coach or player is ejected a second time, he/she will be ineligible for the remainder of the tournament.
5. Coaches or Players who leave the bench to engage athletes or coaches on the playing floor may be suspended by the National Eligibility Committee for any period up to the duration of the tournament.
6. The National Eligibility Committee may impose suspensions or other penalties for misbehavior which occurs at any time and at any location during the event.
7. Coaches and Athletes who engage in misbehavior are subject to additional disciplinary action from the AAU Boys' Basketball National Infractions Committee. Penalties may include suspension from AAU events in future years.

#### **E. Pool Play Tie Breaker**

1. In any situation where two (2) teams are tied, head-to-head competition between the teams will determine the winner.
2. If more than two (2) teams tie, a point differential tie-breaker will be applied. The point differentials of the teams involved in the tie are totaled. Teams are then ranked according to the sum of the point differential - with the highest number placing above the second highest point total and the third highest point total next. (The maximum that you can beat a team and still gain an advantage is 15 points.)
3. If two (2) teams are still tied after the application of the formula, go back to (a.) to break the tie.
4. If more than two (2) teams are still tied after the application of the formula, the point differentials of the team(s) not involved in the tie are added, and the results recalculated.
5. The score of all forfeits shall be 15-0. (For Tie Breaker Examples please see Appendix i.)
6. If there is still a three way tie, a three way flip of the coin will determine team placement.

## F. Press Rule

1. For 1<sup>st</sup>, 2<sup>nd</sup>, 3<sup>rd</sup> and 4<sup>th</sup> Grade:  
Teams ahead by 20 points or more at any point in the second half must play defense behind their three-point arc. First offense: Warning, Second and all additional offenses: 2 shot administrative technical.
2. For 5<sup>th</sup> Grade and older:  
Teams ahead by 30 points or more at any point in the second half must play defense behind their three-point arc. First offense: Warning, Second and all additional offenses: 2 shot administrative technical.

## G. Technical Rules

1. Facilities/Competition Area
  - a. All gym floors must be a minimum of standard High School dimensions (84' X 50')
  - b. For National Championship and Super Regional Championships, all gyms must be air-conditioned (unless event is held between October 1<sup>st</sup> and April 15<sup>th</sup> when air conditioning is not required).
2. Equipment - Basketball Size
  - a. 1<sup>st</sup> Grade, 2<sup>nd</sup> grade, 3<sup>rd</sup> grade, 4<sup>th</sup> grade, 5<sup>th</sup> grade and 6<sup>th</sup> grade division competitions shall use the 28.5 circumference basketball.
  - b. 7<sup>th</sup> grade, 8<sup>th</sup> grade, 9<sup>th</sup> grade, 10<sup>th</sup> grade, 11<sup>th</sup> grade and 12<sup>th</sup> grade shall use the 29.5 circumference basketball.
3. Uniforms
  - a. Players' jerseys must have numbers on both the front and back.
  - b. Numbers can be 00-99.
  - c. Minimum size of numbers shall be 2" on the front and 4" on the back.
  - d. The top/left team on the schedule shall be the home team and sit on the scorekeepers' left.
  - e. The bottom/right team on the schedule shall be the visiting team and sit on the scorekeepers' right.

★ NOTICE: Teams should be aware when acquiring uniforms that **WHITE** jerseys are required at National Championships. See Rule IV. National Championships, G. Uniforms. **WHITE** jerseys are not required at Super Regional Championships. Districts may or may not require **WHITE** jerseys at the District Championship.

4. Medals  
AAU medals shall be used at all sanctioned district qualifying events. Medals must be purchased through the AAU medal program or the sanction shall be denied/voided.

## H. Results Reporting Requirements

1. For District teams to be eligible for the National Championship, the District Sport Director must submit the District Championship results to the AAU National Headquarters.
2. Results must be provided within 10 days after the completion of the event and not later than June 1<sup>st</sup>, unless granted an extension by the National Chair.

3. A form will be provided by the National Director of Competition including correct contact person, address, city, state, zip, telephone number and email. The form shall indicate finish of each team by grade and division.
4. Districts not providing results to the AAU National Headquarters MAY BE suspended from participating in National Championships. However, teams who have successfully met requirements may be allowed to participate at the discretion of the Boys' Basketball Executive Committee.
5. Super Regional Championship hosts must report results to the AAU National Headquarters within 10 days after the completion of the event.

## IV. National Championships

### A. Tournament Entry Procedures

National Championship - No team will be entered into a National Championship tournament that fails to meet entry requirements. In order to enter a National Championship teams must register online at [www.auboysbasketball.org](http://www.auboysbasketball.org) and comply with the requirements and deadlines set forth in the Tournament Information. The Tournament Information shall be obtained at [www.auboysbasketball.org](http://www.auboysbasketball.org). Entries received after the entry deadline may be rejected.

### B. Entry Fees

1. **Teams must register online at [www.auboysbasketball.org](http://www.auboysbasketball.org).** The entry fee for all Division I National Championships is \$645.00 (except Senior Boys \$545). No refund will be made to teams withdrawing after the entry deadline.
2. **Teams must register online at [www.auboysbasketball.org](http://www.auboysbasketball.org).** The entry fee for all Division II National Championships is \$620.00. No refund will be made to teams withdrawing after entry deadline.
3. **Teams must register online at [www.auboysbasketball.org](http://www.auboysbasketball.org).** The entry fee for all Division III National Championships is \$550.00. No refund will be made to teams withdrawing after entry deadline.
4. Entry fees must be paid online or by cashier's check or money order (no personal checks).

### C. Participation Violation Penalties

1. Teams/clubs or non-athletes who have entered the National Championship and do not participate, are subject to sanctions, including suspension from National Championship play. Deadline for notification of withdrawal will be tournament entry deadline.
2. Teams/clubs or non-athletes who fail to compete in all scheduled games at the National Championship are subject to sanctions, which may include suspension from National Championship play.

### D. Coaching Meeting Requirements

The Head Coach (as designated on the official team roster) is required to attend each of the following:

1. Meetings:

- a. Pre-tournament coaches' meeting.
  - b. Post-pool play coaches' meeting.
2. Violation: Any head coach failing to attend and answer roll call at the coaches' meetings shall be suspended for one game and shall have no contact with the team during the game.

### E. Opening Ceremony

1. In National Championships that schedule Opening Ceremonies, as determined by the Executive Committee, all teams and their head coach must attend and participate.
2. All participating teams shall dress uniformly during the Opening Ceremony.
3. The "Dress Code" rule (F.1) for bench personnel, does not apply to the Opening Ceremony.
4. Only roster members may participate in the Opening Ceremony.
5. Violation: If a team or head coach fails to march in during Opening Ceremonies, the head coach shall be suspended for one game and shall have no contact with the team during the game.

### F. Bench Personnel/Dress Code

1. All non-uniformed persons on the bench shall wear slacks, shirts with collars and sleeves and dress shoes or tennis shoes during play at the National Championship. Shorts, jeans, sweat pants, athletic wear, tee shirts, hats, and open-toed shoes are not permitted. The dress code applies to female bench personnel as well. Women may wear dresses or skirts appropriate to the occasion.
2. A team may have on its bench only the eligible persons listed on its official roster, including four (4) non-players (coaches, ball boy, scorekeeper, etc.). Only eligible players may wear uniforms on the bench.
3. At least one person age 18 or older must be on the bench at all times. If as the result of a removal, illness, etc., no roster member of the minimum age of 18 available, tournament administrators shall select a person of suitable age to supervise the bench.
4. Violation of Rule F.1. shall result in the assessment of a 2-shot administrative technical foul. Thereafter, the offending team shall have one minute to correct the violation. If violation is not corrected the violator shall be removed from the playing floor until violation is corrected.

### G. Uniforms

1. Players' jerseys must have numbers on both the front and back.
2. Numbers can be 00-99.
3. Minimum size of numbers shall be 2" on the front and 4" on the back.
4. Teams competing in National Championship tournaments must have white and dark jerseys.
5. Home wears White jerseys and Visitor wears Dark jerseys.
6. The top/left team on the schedule shall be the home team and sit on the scorekeepers' left.
7. The bottom/right team on the schedule shall be the visiting team and sit on the scorekeepers' right.
8. Violation of Section G.5. shall be penalized by a two (2) shot administrative technical foul for each illegal jersey. The penalty will be assessed at the time the player with the illegal jersey enters the game.

★ NOTICE: Teams should be aware when acquiring uniforms that **WHITE** jerseys are required at National Championships. **WHITE** jerseys are not required at Super Regional Championships. Districts may or may not require **WHITE** jerseys at the District Championship. See Rule III. Competition Rules, F. Technical Rules, 3. Uniforms.

## H. Awards

1. Team Trophies and individual medals will be presented to the top ten (10) placing teams in the National Championship and the top four (4) in the National Classic (except in the Senior Boys' Division where trophies will be presented to the final four (4) placing teams).
2. The AAU Boys' Basketball Executive Committee may authorize additional awards as appropriate.

## I. Protest Procedures

1. The National Boys Basketball Eligibility Committee shall handle all protests at National Championships.
2. Any protest that is submitted on the first day of competition by 6:00 pm will be reviewed by the eligibility committee and a decision will be made prior to bracket play. Any protest that comes after 6:00 pm of the first day of competition will be reviewed at the Eligibility Committee's discretion.
3. A fee of \$100.00 cash (refunded if protest is upheld) must accompany all protests. Protests must come from a non-athlete member listed on the official online roster for the event. The written protest must be submitted to the National Commissioner-in-Charge. All decisions of the Eligibility Committee shall be final.

## J. National Championship Qualification

All teams must qualify in order to compete in a National Championship. The qualification requirements are as follows:

### 1. Qualification Criteria

- a. The number of teams that qualify for National Tournaments through district championships is based on the number of teams entered into the qualifying event. In District Championships the total number of teams participating in Division I & Division II are totaled to determine the number of teams qualifying for the National Championship.
  1. **When there are 3 teams** – (A) First Place team only may advance for Division I. (B) One (1) team may advance for Division II.
  2. **When there are 4-6 teams** – (A) Two (2) teams may advance for Division I. (B) Two (2) teams may advance for Division II.
  3. **When there are 7-9 teams** – (A) Three (3) teams may advance for Division I. (B) Three (3) teams may advance for Division II.
  4. **When there are 10-12 teams** – (A) Four (4) teams may advance for Division I. (B) Four (4) teams may advance for Division II.
  5. **When there are 13-15 teams** – (A) Five (5) teams may advance for Division I. (B) Five (5) teams may advance for Division II.
  6. **When there are 16-18 teams** – (A) Six (6) teams may advance for Division I. (B) Six (6) teams may advance for Division II.
  7. **When there are 19-21 teams** – (A) Seven (7) teams may advance for Division I. (B) Seven (7) teams may advance for Division II.
  8. At their discretion, the District Sport Director and the National Chair may each name one additional qualifier for Division I and Division II for each additional increment of 3, starting with 22 teams.

9. **EXCEPTIONS:**

In the 2<sup>nd</sup> grade, 3rd grade and 12<sup>th</sup> Grade Division all teams that participate in a District Championship are eligible to compete in the National Championship.

10. If the District does not conduct a District Championship in a particular grade division, teams may petition the Boys' Basketball Chairman for a waiver.

- b. Teams that qualify through a Super Regional must participate in their District Qualifier in order to validate their bid to Nationals. **Super Regional bids for Division I are not to be awarded below 4<sup>th</sup> place.**

2. **All teams must participate in a District Championship to compete at a National Championship.**

- a. Teams which qualify 1<sup>st</sup> through 4<sup>th</sup> in the Division I District Championship may not play in the Division II or III National Championship in that Grade Division. All other teams that have qualified for Division I are eligible to play Division I or II in that grade. No team that qualifies for Division I in the District Championship may play in Division III in that grade.
- b. Teams which qualify 1<sup>st</sup> through 4<sup>th</sup> in the Division II District Championship may not play in Division III in that Grade Division. All other teams that may have qualified for Division II are eligible to play Division II or III in that grade.
- c. Teams which qualify 1<sup>st</sup> through 4<sup>th</sup> in Division I or II may petition the National Chair for an exception to sections 2a and 2b above.
- d. Super Regional Championship - Teams may earn conditional qualifying bids at Super Regional Championship events. The bid is validated through participation at a District Championship.
- e. Teams may receive a conditional automatic bid into the 2014 National Championship based upon the team placement in the 2013 National Championship. The bid is validated through participation at a District Championship. Conditional automatic bids are earned as follows:
  - i. Teams that earned medals in the 2013 11th Grade National Championship will receive a conditional automatic bid into the 2014 11th Grade and 12th Grade National Championship.
  - ii. Teams which earn medals in the 2013 Championship Bracket
  - iii. Teams which earn medals 1st through 4th place in the 2013 Classic Bracket
  - iv. Teams that place 1st – 4<sup>th</sup> in Division II in 2013 will receive choice of a conditional automatic bid back to the Division II Championship or to the Division I Championship in 2014.
  - v. Teams that finish 5th – 12th in Division II in 2013 will receive conditional automatic bids back to the Division II National Championship in 2014.
  - vi. Teams that place 1st – 4<sup>th</sup> in the 2013 Division III will receive choice of a conditional automatic bid back to the Division III Championship or to the Division II Championships in 2014.
  - vii. If a conditional automatic bid recipient qualifies through the 2014 District tournament, the conditional automatic bid may be used by the club to advance another team from their club in the same grade division. If the club does not use the conditional automatic bid to advance another team from their

- club, the bid will revert to the District to be awarded to the next highest place team not otherwise qualified.
- viii. All conditional automatic bids advance to the older grade level in 2014, except 11th Grade which shall receive will receive a conditional automatic bid into the 11th Grade and 12th Grade National Championship.
  - ix. A club will receive one conditional automatic bid for 2014 for every four (4) teams entered into National Championship events (combined total for Division I, II and III). The entry may be used for any grade division.
- f. District Championships may be conducted in every grade allowing competition in Division I, Division II and Division III. A minimum of three (3) teams representing two (2) clubs are required to play in an District Grade Championship in order for participants to advance to the National Championship in that grade division.

★NOTICE: All teams that participate at a District Championship may qualify for a National Championship in Division I, Division II and/or Division III based on the results at the District Championship. Speak to your District Sport Director or call the AAU National Office (407-934-7200) for clarification of eligibility to participate at a National Championship.

## K. National Championship Roster

Rosters may be modified for competition following the completion of the District Championship. The roster modification criteria are as follows:

1. A team that qualifies for a National Championship may add a maximum of **three (3)** players to its District Roster for the purpose of participating in the National Championship. Additions must be made by the entry deadline date of the event. A maximum of two (2) additional athletes may be added to the National Championship Roster after the entry deadline. The total number of players designated to play in the National Tournament may not exceed fifteen (15) players. Athletes can be removed from the District Championship roster.
2. Players added to a roster must meet the following criteria:
  - a. An athlete must have been able to satisfy all eligibility requirements (regarding age, residence, etc.).
  - b. There shall be a maximum of three (3) cross boundary athletes on a roster at any time.
  - c. A team advancing to a Division I National Championship may not add a player from a team advancing to a Division I National Championship in the same grade division without the written permission of the Eligibility Committee.
  - d. A team advancing to a Division I National Championship may add a player from a roster of a team advancing to a Division II or III National Championship.
  - e. A team advancing to a Division II National Championship may not add a player from a team that has placed 1<sup>st</sup> through 4<sup>th</sup> in the Division I District Championship in the same grade division.
  - f. A team advancing to a Division II National Championship may not add a player from a team advancing to a Division II National Championship in the same grade division without the written permission of the Eligibility Committee.

- g. A team advancing to a Division III National Championship may not add a player from a team advancing to a Division III National Championship in the same grade division without the written permission of the Eligibility Committee.
- h. A team advancing to a Division III National Championship may not add a player from a team that has qualified for Division I in the District Championship in the same grade division.
- i. A team advancing to a Division III National Championship may not add a player from a team that has placed 1<sup>st</sup> through 4<sup>th</sup> in the Division II District Championship in the same grade division.
- j. The AAU Boys' Basketball Eligibility Committee will not consider requests for transfer under rule H.2. c., f. and g. until after the completion of the District Championship.
- k. A maximum of two (2) additional athletes may be added to the National Championship Roster after the entry deadline.

## V. Miscellaneous

### A. National Championship Selection Procedure

- 1. National Championship proposals will be reviewed by members of the Boys' Basketball Executive Committee and approved by a vote of the Boys' Basketball National Council. No voting member may vote on sites involving his/her District.
- 2. If for any reason a National Championship Host defaults or is unable to fulfill its commitment, the National Boys' Basketball Executive Committee will determine the replacement site at its sole discretion.

B. Coaching Restriction - No person may be a coach for any team competing in an event for which he/she is the tournament director.

C. Event Approval - The Boys' Basketball Executive Committee will not approve Super Regional Championship competition between the dates of November 1st and March 15<sup>th</sup> for athletes in the 9<sup>th</sup> Grade Division through 12<sup>th</sup> Grade Divisions.

D. Host Team - Notwithstanding the qualification criteria, the local organizing committee that is host to a National Championship may select up to a maximum of 4 teams provided they meet all AAU requirements.

- 1. Host Team(s) may participate in the AAU District Championship, but are not required to participate.
- 2. The Host may select the team(s) as it wishes. It is not required to use any particular formula or criteria.

### E. Tournament Format and Seeding

- 1. A pool play system will be used in the National Championships for all grade divisions. There will be four (4) teams per pool when possible. Top two teams from each pool will advance to a single elimination championship bracket, with consolation brackets. Those teams that do not advance to the championship bracket shall automatically advance to the National Classic bracket.
- 2. Tournament Draw - The actual placement of teams into pools for the National Championship tournament will be completed upon the receipt of all entries. Teams that have placed in the previous years' National Championship bracket shall be the first teams placed. The next teams placed shall be from District Seeding. All Districts have been seeded based on:
  - a. Previous results
  - b. A random draw at the annual meeting to place those Districts that did not earn seeding points. No two teams from the same District [or state when

possible] will play in the same Pool. (It is impossible to keep teams from the same State or District from meeting one another in the first round of games of the single elimination or consolation bracket part of the National Championship.) Teams that advance from the same pool will be placed in opposite halves of the bracket so that they will not meet again in the championship bracket until the National Championship game. Schedule adjustments may be made in the event of team "no show's."

### 3. Seeding Policy

- a. Seeding shall be by individual teams and AAU District. The first criteria for seeding shall be order of finish in the previous years' National Championship. For example, the 5<sup>th</sup> Grade Division I National Champion shall be the #1 seed in the next years' 6<sup>th</sup> Grade Division I National Championship, 2<sup>nd</sup> Place shall be the 2<sup>nd</sup> seed and so forth for all places awarded.
- b. The host team shall be placed in all tournaments immediately following the teams placed based on the order of finish in the previous years' National Championship.
- c. The next criteria for seeding shall be order of finish in the respective grade division for three (3) years when possible. Only teams advancing out of pool play to the championship round will receive points for seeding if they placed in the top sixteen (16) places. \*Note\* National Classic Championships does not count towards seeding
- d. The placement of teams shall be according to the instructions above. Districts will be placed in order, with the highest total of points first and so on.
- e. All Districts were drawn blind by the voting delegates at the National Convention in order to establish the order of placement in pools after the seeded teams are placed.

#### **The order of the placement is as follows:**

- Teams placed based on the results of last years' National Championship
- Host team
- District seeded teams in order
- Removal of seeded teams from placement list
- Removal of teams not participating from list
- Teams are advanced upward to fill vacated spots, including the host team.
- District gold medal team will be placed according to point total.
- The order of the draw list shall apply to gold medal teams. Silver teams are then placed, then bronze, then 4th, then 5th, etc. according to the draw.
- Pool winners and runners-up advance to championship bracket play. The 3rd, 4th, 5th place teams in each pool will play in the National Classic Championship.

The local host committee is not involved in the seeding or placement of teams into pools. Order of pool play and bracket schedule are predetermined and provided to the Local Host by the National Director of Competition

- F. **District Qualifying Events** - Districts may choose from the following options to determine qualifiers for the National Championships:
1. One District Qualifying event:
    - a. Award an equal number of bids to Division I (DI), Division II (DII) and Division III (DIII) National Championship as called for by the AAU Boys' Basketball Handbook, including automatic bids; example; 12 teams in Grade Division District Championship = four (4) qualifying spots for Division I. Next four (4) qualify for Division II. Last four (4) qualify for Division III.
  2. District Qualifying events for Division I and Division II on separate weekends:
    - a. If the DII Tournament follows the DI Tournament, teams that do not place 1<sup>st</sup> through 4th may participate in the DII Qualifier. In this scenario, any team may choose to bypass the DI Qualifier and compete in the DII Qualifier.
  3. District Qualifying events for Division I and Division II on the same weekend:
    - a. If both DI and DII are on the same weekend, teams/athletes must choose either DI or DII, they may not compete in both. In this scenario, athletes may not be on the roster of a DI and DII team in the same grade division.
  4. District Qualifying event for Division III
    - a. If the DIII Tournament follows the DII Tournament, teams that do not place 1<sup>st</sup> through 4th in the DII may participate in the DIII Qualifier. In this scenario, any team may choose to bypass the DI or DII Qualifier and compete in the DIII Qualifier.
    - b. A team that qualified Division I in the District Championship may not participate in the Division III District Championship.
  5. Total of all teams participating in the DI and DII count towards the team totals for advancement to Nationals.

G. **Sport Agents, Agencies and Representatives**

Any person who is a registered sports agent or an agent or employee of a sports agent or agency, or any person who received compensation or consideration of any kind directly or indirectly from a sports agent or agency is prohibited from involvement in the AAU throughout the entire fiscal year (September 1 through August 31) during which any such compensation or consideration is received except as specifically set out herein.

Violation of this policy may result in the disqualification of the team and/or athlete (or both), as well as other possible penalties as set out in this Code.

Any such agency, agent, employee or representative may apply in writing to the AAU for an exemption concerning this policy. If, in the sole discretion of the AAU, such person's involvement either with the AAU and/or the sports agency is found to be inconsequential, such exemption may be granted. Such exemption, if any, may only be granted by the National Board of Review or a majority of the National Officers. In order to be valid (such exemption) must be in writing and will be subject to review either annually or at any time based upon a change of circumstance related to such persons' involvement.

## Appendix to the Rule Book

Documents in the Appendix are supplementary materials published for the convenience of members.

### A. **AAU Code Book**

The following excerpts from the AAU Code are provided as a convenience to AAU members. For precise language refer to: <http://aausports.org/AAUInfo/CodeBook/CompleteCodebook.aspx>.

### B. **AAU Boys' Basketball Policies**

#### 1. **Sportsmanship**

It is the obligation of coaches, players, administrators, volunteers, and other representatives of the AAU to practice the highest principles of sportsmanship and to observe the ethics of competition. Good sportsmanship is defined as qualities of behavior, which are characterized by courtesy and genuine concern for others. The display of good sportsmanship is a statement of the individual's understanding and commitment to fair play, ethical behavior and integrity.

#### 2. **High School Athletics**

Participation in High School Basketball is important to the social and athletic development of the AAU athlete. The AAU recognizes the influential role played by the athlete's high school coach and team. Accordingly, the AAU pledges its support of high school athletics. In keeping with that support, the following policies are appropriate:

- a) The AAU Boys' Basketball Committee will not approve Super Regional Championship competition for athletes 9<sup>th</sup> grade and older between the dates of November 1<sup>st</sup> and March 15th.
- b) AAU Clubs shall not allow any athlete who is a member of a high school interscholastic basketball team to participate in AAU sanctioned tryouts, practices, or games, from the first day listed for high school basketball practice on the High School Federation calendar until the last game of the regular season has been played.
- c) Coaches who are AAU members and school coaches should maintain open communications for the benefit of the athlete.
- d) It is inappropriate for an AAU member to solicit or recruit a player to enroll in any particular secondary school.

#### 3. **Athletics and Education**

Athletic competition is good unto itself, and opportunities for participation need not be restricted to individuals representing academic or scholastic institutions. However, the AAU recognizes that athletics can be a powerful tool for shaping the educational development of young people, and for the enhancement of educational opportunities for its participants. AAU organizations should consider the academic well-being of its athletes, and ensure that participation in AAU activities does not adversely affect their academic progress. The AAU Club should cooperate with the athlete and his parents to strike an appropriate balance among academic, social and athletic activities.

#### 4. **Coaches' Ethics**

The coach must be aware that he can be either a positive or a negative influence upon the development of the athlete. In all of his personal contacts with athletes, officials, parents, the media, and the public, the coach shall strive to set an example of the highest ethical and moral conduct. In keeping with that goal, the following ethical guidelines should be observed by all AAU coaches:

- a) The coach shall never place the value of winning above the value of instilling the highest desirable ideals of character.
- b) The coach must always consider the personal safety of the athlete.
- c) The coach shall be thoroughly acquainted with the rules and regulations of competition, and shall be responsible for their interpretation to team members. The coach shall not try to seek an advantage by circumventing the spirit or letter of the rules
- d) Game officials shall have the respect and support of the coach. The coach shall not indulge in conduct which will incite the players or spectators against the officials. Public criticism of the officials or players is unethical.
- e) The coach should respect his opponents, display gracious behavior during competition and require his players to conduct themselves in similar fashion. Before and after the contest, rival coaches should exchange friendly greetings to set the correct tone for the event
- f) Coaches shall actively use their influence to enhance sportsmanship by spectators.
- g) The coach shall take an active role in the prevention of drug, alcohol and tobacco abuse, and shall, under no circumstances authorize their use.
- h) The coach must recognize the importance of the athlete's academic development and promote the proper balance between athletic and academic activities.

### C. National Championship Program Policies

1. Game Time: The game time will also be the forfeit time. No grace period will be allowed.
2. Officials: No official may work more than four (4) games in one (1) day or more than two (2) games in succession, unless approved by a commissioner. No official shall work any game involving teams from the City/County in which he/she resides (Division I and II National Championship pool and bracket play, does not include National Classic).
3. Hotel/Motel Policy: Upon approval by the National Sport Chair, a National Championship Host may designate certain hotel/motel properties not to be used by teams participating in a National Championship Event. The exact name and address of each property must be provided to the National Sport Chair. The AAU Boys' Basketball Committee may reject entries of teams who reserve rooms in these designated properties. Once the National Championship Event begins, team(s) can be required to vacate the designated property in order to continue to participate in the event.

### D. Definitions

1. **Practice** - For the purpose of AAU sanctioning, practice is organized and/or regularly scheduled sessions supervised at all times by a registered AAU non-athlete and conducted for the purpose of preparing, training, instructing and conditioning only AAU registered athletes for AAU competitions. Tryouts and scrimmages are included as long as they meet all the requirements of the above definition.
2. **Supervision** - Supervision requires that an AAU registered non-athlete be physically present at all times at the practice premise or site during each practice session.
3. **Scrimmage** - A practice of an AAU club or with AAU athletes or another club. A scrimmage does not qualify as a practice if an admission fee is charged, or the officials are paid. Scrimmage results must not affect the team's/club's standings or rankings.
4. **Qualifying Team** - A team which has met the requirements to compete in the National Championship
5. **Advancing Team** - A team which is competing (participating) in the National Championship
6. **Conditional Bid** – An automatic or Super Regional Championship bid is conditional until validated by participation at the District Championship.

### E. Tiebreaker Formula Examples

Team A	2 Wins	1 Loss
Team B	2 Wins	1 Loss
Team C	2 Wins	1 Loss
Team D	0 Wins	3 Losses

**Example #1:** To break the tie to determine the placement, first look at the game results of the teams involved in the tie and total the point differentials.

Results	Differential	Total	Placement
Team A			
A vs. B (A-69 B-75)	-6	+9	1 <sup>st</sup> Place
A vs. C (A-85 C-69)	+15		

Results	Differential	Total	Placement
Team B			
B vs. A (B-75 A-69)	+6	-4	2 <sup>nd</sup> Place
B vs. C (B-63 C-73)	-10		

Results	Differential	Total	Placement
Team C			
C vs. A (C-69 A-85)	-15	-5	3 <sup>rd</sup> Place
C vs. B (C-73 B-63)	+10		

**Example #2:** When teams have the same point differential total after the first calculation, add the point differentials of the teams not involved in the tie.

Results	Differential	Total	Placement
Team A			
A vs. B (A-68 B-71)	-3	+0	Tie
A vs. C (A-59 C-56)	+3		

Results	Differential	Total	Placement
Team B			
B vs. A (B-71 A-68)	+3	+0	Tie
B vs. C (B-63 C-66)	-3		

Results	Differential	Total	Placement
Team C			
C vs. A (C-56 A-59)	-3	+0	Tie
C vs. B (C-66 B-63)	+3		

**Recalculated using Team D scores:**

Results	Differential	Total	Placement
Team A			
A vs. B (A-68 B-71)	-3	+5	3 <sup>rd</sup> Place
A vs. C (A-59 C-56)	+3		
A vs. D (A-65 D-60)	+5		

Results	Differential	Total	Placement
Team B			
B vs. A (B-71 A-68)	+3	+12	1 <sup>st</sup> place
B vs. C (B-63 C-66)	-3		
B vs. D (B-71 D-59)	+12		

Results	Differential	Total	Placement
Team C			
C vs. A (C-56 A-59)	-3	+8	2 <sup>nd</sup> place
C vs. B (C-66 B-63)	+3		
C vs. D (C-64 D-56)	+8		

## DIRECTORY

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<b>National Chair</b>	Marcellus “Boo” Williams (Virginia)
<b>Secretary</b>	Willie Brown (Virginia)
<b>Director of Competition</b>	Paul Campbell (Inland Empire)
<b>Immediate Past Chair</b>	Rod Seaford (North Carolina)
<b>Member at Large</b>	Joseph “Roch” Weilbaecher Southern
<b>Member at Large</b>	Ben Dubose (Maryland)
<b>AAU President (Ex Officio)</b>	Henry Forrest (Arkansas)

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Dave Allen	Barry Lazarus (DSD-FG)	John Kottori (DSD-NE)
John Allen	Lee Smith	PK Martin (DSD-PV)
William Anderson (DSD-SE)	Jim McDonald	Terry Black
Jim Fox (DSD-MP)	Willie Braziel (DSD-MN)	Eric Brown
Steven Fuller (DSD-IA)	Frank Pollock (DSD-SC)	Charles Baldwin (DSD-PA)
Sean Kilmartin	McCarroll Nole	Steve Slupsky
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